

A Systematic Approach to Interpreting the Cardiopulmonary Exercise Test in Pediatrics

Citation for published version (APA):

Van Brussel, M., Bongers, B. C., Hulzebos, E. H. J., Burghard, M., & Takken, T. (2019). A Systematic Approach to Interpreting the Cardiopulmonary Exercise Test in Pediatrics. *Pediatric Exercise Science*, 31(2), 194-203. <https://doi.org/10.1123/pes.2018-0235>

Document status and date:

Published: 01/05/2019

DOI:

[10.1123/pes.2018-0235](https://doi.org/10.1123/pes.2018-0235)

Document Version:

Publisher's PDF, also known as Version of record

Document license:

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A Systematic Approach to Interpreting the Cardiopulmonary Exercise Test in Pediatrics

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The use of cardiopulmonary exercise testing in pediatrics provides critical insights into potential physiological causes of unexplained exercise-related complaints or symptoms, as well as specific pathophysiological patterns based on physiological responses or abnormalities. Clinical interpretation of the results of a cardiopulmonary exercise test in pediatrics requires specific knowledge with regard to pathophysiological responses and interpretative strategies that can be adapted to address concerns specific to the child's medical condition or disability. In this review, the authors outline the 7-step interpretative approach that they apply in their outpatient clinic for diagnostic, prognostic, and evaluative purposes. This approach allows the pediatric clinician to interpret cardiopulmonary exercise testing results in a systematic order to support their physiological reasoning and clinical decision making.

Keywords: child, exercise physiology, interpretation, normal responses, differential diagnosis

Within pediatric medicine, clinical exercise physiology is a discipline in which the integrated response of various physiological systems (pulmonary, cardiovascular, hematologic, metabolic, and musculoskeletal) is objectively assessed and analyzed at rest, during progressive exercise, and during recuperation, often using cardiopulmonary exercise testing (39). Using this noninvasive and dynamic integrative approach, it is possible to uncover potential physiological causes of unexplained exercise-related complaints and symptoms and to observe specific pathophysiological patterns based on physiological responses or abnormalities (39). Furthermore, this integrated approach provides the clinician with a broad spectrum of information to support their physiological reasoning and clinical decision making. Children can be referred for a cardiopulmonary exercise test (CPET) for the purposes of differential diagnostics, prognostics, as well as for the evaluation of intervention effectiveness (see Table 1 for a detailed overview of indications for a CPET).

In children, growth and maturation lead to notable physiological, anatomical, and psychological changes that can significantly alter both the responses to exercise and overall exercise capacity.

This differs considerably from exercise testing in adults. Therefore, knowledge concerning normal and abnormal responses to exercise as well as appropriate sex- and age-related reference values is vital for clinical interpretation, especially in children with a medical condition or a disability. Given the richness and complexity of pediatric CPET data, it is essential to apply a systematic and comprehensive approach to the interpretation of these tests (16). Therefore, the aim of this article is to provide a general guide for the systematic interpretation of CPET data based on the approach we have developed and applied over the last 15 years for diagnostic, prognostic, and evaluative purposes in pediatrics.

CPET in Children

Performing a CPET in children, especially in younger and smaller children, can be challenging since they do not always adhere to the standard operating procedures or fit the standard CPET equipment (eg, face masks, cycle ergometer) or consumables (electrocardiogram electrodes). Indeed, while equipment or consumables, protocols (see below), and reference values (9) should be age and weight appropriate, there is a lack of robust reference values from large samples of children, especially younger age groups (eg, 4–8 y) and those of various ethnic backgrounds. A CPET in very young children may not always be appropriate because they tend to be less cooperative compared with older children, demonstrate frequent changes in cadence, and in our experience, will often talk during the test. Perhaps more importantly, young children might not have the experience of performing progressive or intensive exercise and might therefore stop the CPET prematurely, before giving a true cardiovascular maximal effort. Experience

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Table 1 Indications to Perform CPET in Pediatric Medicine

CPET as a diagnostic test
■ Assessment of aerobic capacity (VO_{2max}/VO_{2peak})
■ Assessment of exercise limiting factors, including pathophysiological changes
■ Assessment of heart rhythm and heart rate*
■ Assessment of blood pressure response*
■ Assessment of exercise-induced bronchoconstriction or dysfunctional breathing
■ Assessment of exercise-induced symptoms (chest pain, dyspnea, increased fatigability)
■ Assessment of vocal cord dysfunction
CPET for the assessment of disease severity
Heart disease:
■ Assessment of exercise-induced arrhythmias and repolarization disturbances*
■ Assessment of myocardial ischemia*
■ Assessment of disease severity after surgical correction
■ Assessment and optimization of pacemaker function
Pulmonary disease:
■ Assessment of gas exchange abnormalities
■ Assessment of overall pulmonary gas exchange
■ Assessment of hypoxia
■ Assessment of the need for lung transplantation
CPET as a prognostic test
■ Assessment of the course of a progressive disease (regular follow-up)
■ Assessing other (additional) potential contributing factors to exercise limitation
CPET as an evaluative test
■ Assess suitability, establishing a baseline and assessing the effectiveness of an intervention program
■ Preoperative or pre-treatment screening (eg, lung transplantation, chemotherapy)
■ Assessment of the efficacy of an surgical correction
■ Assessment of the effects of medication on the response to exercise

Abbreviations: CPET, cardiopulmonary exercise testing; VO_{2max} , maximal oxygen uptake; VO_{2peak} , oxygen uptake at peak exercise. Note: Adapted from Bongers et al (9).
*Also applicable in case of an exercise test with electrocardiogram only (without respiratory gas analysis measurements).

with carefully handling, supporting, and encouraging young children is vital for valid exercise testing.

There are a number of CPET protocols, and many exercise laboratories use their own standardized tests. When the child's performance is compared with reference values, it is necessary to standardize the CPET protocol to match the testing procedures and methodology that were used to establish the reference values (9). It is also important to select an appropriate CPET protocol that will allow for evaluation of child's complaints and symptoms, while also considering their current physical fitness. For example, although the Bruce protocol is the most frequently used treadmill protocol for a CPET in children and adolescents (12) for differential diagnostics in pediatrics, a cycle ergometer is strongly preferred. The cycle ergometer possesses multiple clinical advantages

over treadmill testing in pediatric clinical settings, including the fact that the test will not be constrained by mechanical limitations of a patient (eg, inefficient gait; deformities; soreness in ankles, knees, and hip; or balance problems); the risk for injuries is considered negligible; peak work rate (WR_{peak}) can be obtained precisely; and it is easier to obtain better quality physiological measurements including electrocardiography and blood pressure (eg, less movement artifacts) (3,29,47). Furthermore, for young children, next to the lack of familiarity, the speed of the treadmill protocol is often a restrictive factor. When performing a CPET using a cycle ergometer, the Godfrey protocol (21) is frequently used in children and adolescents. A contemporary modification is the ramp Godfrey protocol. In the ramp modification, there is smaller increase in the work rate (WR) in shorter intervals (2–4 W/12 s) instead of increases per minute; this protocol is more compatible with modern electronically braked cycle ergometers equipped with automated protocols. Importantly, the ramp modification allows for a more precise examination of the patient's exercise response, especially in those with more severe limitations and/or deconditioning. In addition to an electronically braked cycle ergometer, CPET equipment should include a metabolic cart able to analyze respired gases (oxygen [O_2] and carbon dioxide [CO_2]) with a rapid response time (<90 ms) to provide breath-by-breath measurements of ventilatory gas exchange variables, as well as ancillary equipment for serial monitoring of electrocardiogram, blood pressure, and peripherally measured oxygen saturation (SpO_2) (25).

Standardized Interpretative Strategy

Optimal utilization of CPET data requires valid and reliable collection and presentation of the data in a clear and standardized approach that is sufficiently flexible to apply to a variety of pathophysiological conditions (16). Below, we describe the 7-step interpretation strategy for a pediatric CPET (see Figure 1) that we use in our outpatient clinic, which is inspired by the approach described by Cooper and Storer (14).

Step 1: Rationale for Requesting a CPET

There are numerous indications for requesting a CPET within pediatric medicine (Table 1). In fact, there are more childhood than adulthood disorders in which a CPET is of clinical relevance (6). The most common indications are to support differential diagnosis for idiopathic exercise-related complaints and symptoms (eg, dyspnea, tachycardia, chest pain, muscle pain or cramps, syncope, fatigue) or exercise-induced abnormalities (eg, severe muscle pain, arrhythmias, bronchoconstriction), for prognostic (monitoring disease status) or for evaluative purposes (eg, efficacy of interventions). When a patient is referred for a CPET, the rationale for referral should be clear to allow the administering clinician to assess the feasibility of the request and avoid any invalid or unnecessary use of the CPET. Information regarding patient history; clinical status (eg, pulmonary function tests, resting electrocardiogram, blood tests); the level of habitual physical activity and sports participation; exercise-related complaints and symptoms; and any clinical contraindications for the CPET should be provided, when applicable. For pretest data collection, the recommendations by Levett et al (25) can be used. Furthermore, we recommend the use of a pediatric preparticipation screening questionnaire for both children and parents/guardians before commencing each clinical CPET (see Appendix).

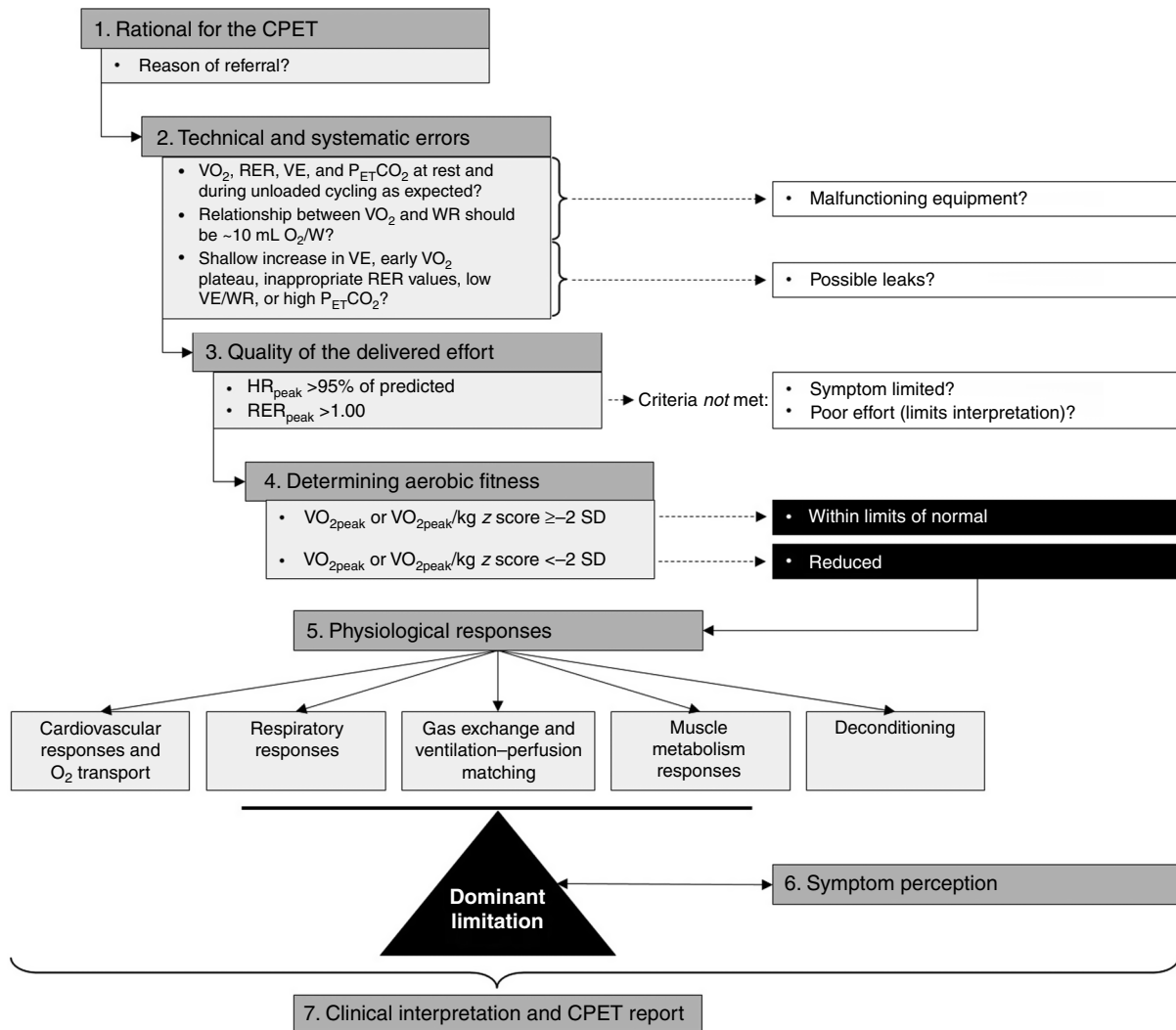


Figure 1 — The 7-step interpretative strategy for pediatric CPET. CPET, cardiopulmonary exercise testing; HR_{peak}, heart rate at peak exercise; P_{ET}CO₂, partial end-tidal carbon dioxide tension; RER, respiratory exchange ratio; RER_{peak}, RER at peak exercise; VE, minute ventilation; VE/WR, minute ventilation to work rate ratio; VO₂, oxygen uptake; VO_{2peak}, oxygen uptake at peak exercise.

Step 2: Technical and Systematic Errors

To avoid potential technical and systematic errors, calibration of primary sensors for flow as well as O₂ and CO₂ concentration measurements must be completed before every test. The flow sensor must be calibrated for volume with a precision syringe (3 L) over a physiological range of flow rates, including a very low flow rate for young children. Calibration gas mixtures for the O₂ and CO₂ sensors must be prepared by gravimetric weighting to ensure a concentration accuracy of $\pm 1\%$. Sensor calibration must be performed at 2 points, within the range of inhaled (21% O₂ and 0% CO₂ in N₂) and exhaled gas compositions (15% O₂ and 5% CO₂ in N₂) (25).

Throughout the CPET, it is important to continually verify that oxygen uptake (VO₂), respiratory exchange ratio (RER), minute ventilation (VE), and partial end-tidal CO₂ tension (P_{ET}CO₂) are within reasonable limits. In addition, the relationship between VO₂ and WR should be linear, at approximately 10 mL O₂/W (47). Unexpected values in the previously mentioned parameters could indicate malfunctioning of the equipment or, in some cases, may reflect pathophysiology. For example, a shallow increase in VE,

a very early plateau in VO₂, nonphysiological RER values (eg, <0.70), low VE to WR, or high P_{ET}CO₂ values could indicate possible leaks in the testing system or the need to recalibrate the metabolic cart. We recommend testing for mask leaks before every test using visual inspection of the connection between the face mask and the nose. After fitting and visual inspection of the face mask, leaks can be ruled out by asking the child to inhale completely, covering the opening of the face mask gently with the palm of your hand (without the flow-volume transducer attached to it), then asking the child to exhale forcefully for 2 seconds while listening for audible gas leaks. In the event of a leak, adjust the mask and retest for leakage.

Step 3: Quality of the Delivered Effort

For an appropriate interpretation of CPET data, it is essential to determine whether the child performed a maximal or near maximal effort. The appearance of a plateau in VO₂ during a progressive CPET, despite an increase in WR, has conventionally been

considered the best indicator for a maximal effort ($\text{VO}_{2\text{max}}$) (3). However, only a minority of children attain a true plateau in VO_2 during a CPET (4,32). Therefore, peak oxygen uptake ($\text{VO}_{2\text{peak}}$) is often used as a substitute for $\text{VO}_{2\text{max}}$ (4,32). Nevertheless, the absence of a VO_2 plateau at the end of the CPET makes it difficult to determine whether a child performed an effort at, or near, their maximal level.

In pediatric populations, heart rate (HR) at peak exercise (HR_{peak}) and the RER at peak exercise (RER_{peak}) are recommended as additional objective criteria to assess the quality of the performed effort (3). More specifically, a HR of at least 180 beats/min at $\text{VO}_{2\text{peak}}$ (or more accurately $\geq 95\%$ of predicted HR_{peak}) (19) and RER of at least 1.00 at $\text{VO}_{2\text{peak}}$ represent the absolute lower limits of normal during a CPET using cycle ergometry. While maximal HR decreases with age in adults (42), average maximal HR remains relatively stable, around 195 to 197 (bicycle) to 200 beats/min (treadmill), in children and adolescents (9,19,24). The RER (VCO_2/VO_2) at rest ranges from 0.70 to 0.85, depending on the timing and the type of food consumed or the degree of insulin resistance (33). Therefore, patients should be advised not to eat for up to 2 hours before commencing the CPET. During progressive exercise, VCO_2 increases relative to VO_2 , which translates into an increase in RER, this reflects a shift in substrate utilization from primarily free fatty acids to mainly glucose, as well as buffering H^+ ions from anaerobic glycolysis (47). An attained RER of ≥ 1.00 at $\text{VO}_{2\text{peak}}$ (RER_{peak}) indicates the reliance on glycolytic energy provision and typically occurs after the ventilatory anaerobic threshold (VAT). However, a value of 1.00 is the lowest limit of normal (9,46), values <1.00 at $\text{VO}_{2\text{peak}}$ could indicate a submaximal effort or could be pathological (eg, glycogen storage disease). In healthy children and adolescents, the mean value for RER at $\text{VO}_{2\text{peak}}$ ranges from 1.14 to 1.19, with a mean of 1.16 (9,22). It is critical that RER_{peak} is assessed at the point of $\text{VO}_{2\text{peak}}$, since RER values rise directly after cessation of the CPET and during the recovery phase. Indeed, the RER can “overshoot” up to values >1.50 , which is attributable to the delayed recoveries of VCO_2 and VE and the comparatively rapid recovery of VO_2 (37). It is therefore also important to avoid complete cessation of exercise upon attainment of $\text{VO}_{2\text{peak}}$. Rather, the child should be instructed to continue light exercise at a low WR (eg, 5–10 W) for at least 5 minutes to balance the recovery times of VCO_2 , VE, and VO_2 , and ensure clearance of metabolic by-products (like H^+ ions and CO_2). In children and adolescents, RER values will decrease within 2 to 3 minutes of recovery.

A second option to confirm whether the attained $\text{VO}_{2\text{peak}}$ reflects true $\text{VO}_{2\text{max}}$ is to administer a supramaximal exercise to exhaustion with respiratory gas analysis following the CPET (5). However, this is often not feasible in clinical practice due to the limited stamina of the patient or due to time limitations. Subjective visual indicators of the delivered effort (eg, sweating, flushing) and reason(s) for exercise cessation indicated by the patient are also important parameters to verify the quality of the delivered effort. It is important to keep in mind that when verifying the quality of the delivered effort in children with a potential medical condition, the previously mentioned values may not always be achieved due medication usage (eg, β -blockers) or underlying pathophysiology.

Step 4: Determining Aerobic Fitness

When performing a CPET in children, the observed level of aerobic fitness is of great clinical relevance, wherein an abnormal value provides the impetus to further examine CPET data to uncover the

physiological mechanisms underlying this impairment. In case of a maximal effort (step 3), the clinical exercise physiologist can pragmatically evaluate the child’s aerobic fitness based on $\text{VO}_{2\text{peak}}$, where a $\text{VO}_{2\text{peak}}$ (mL/kg/min and L/min) of ≥ -2 SD falls within limits of normal (8,9). The frequent use of 80% of predicted as the lower limit of normal is not recommended, since this value overestimates the lower limit of normal in adolescents (T. Takken, unpublished data, November 2018). When z scores of $\text{VO}_{2\text{peak}}$ are <-2 SD, it is imperative to analyze additional CPET data to determine the most limiting physiological factor. It is important to note that CPET data should always be extensively analyzed, even when $\text{VO}_{2\text{peak}}$ falls within the normal range, since patients with an athletic background who have higher than normal $\text{VO}_{2\text{peak}}$ values may also present with underlying physiological abnormalities or deficits (eg, palpitations, hyperventilation).

In case of a submaximal effort during CPET, conclusions concerning aerobic fitness based on $\text{VO}_{2\text{peak}}$ cannot be drawn, and these data should be interpreted with caution. Other CPET parameters such as the VAT and the oxygen uptake efficiency slope (1) can be used to provide an indication of fitness.

Step 5: Determining Physiological Limitations

Detailed analysis of the CPET data can provide insight into the physiological response that contributes most dominantly to reported or observed exercise limitations. For clinical and pragmatic reasons, these limitations can be subdivided in cardiovascular responses and oxygen transport, respiratory responses, gas exchange and ventilation–perfusion matching, and muscle metabolism responses. In addition, deconditioning should also be included as a possible exercise-limiting factor, as this is often inherent to a chronic medical condition. When evaluating the dominant physiological limitation, algorithmic approaches that emphasize a primary mechanism or exercise limitation may be helpful; however, these are usually inadequate as they are based on adult cutoff values, exercise intolerance is multifactorial, and single abnormalities in CPET outcomes can be observed in multiple medical conditions. Therefore, a combination of multiple outcomes is necessary to determine the most dominant limitation.

Cardiovascular Responses and Oxygen Transport. When examining the cardiovascular responses during a CPET, it is important to analyze the HR response at rest, during progressive exercise, and during recovery. It is also vital to realize that maximal HR is genetically predetermined and that the maximal HR achieved by children and adolescents is independent of age. During moderate exercise intensity, HR is usually linearly related with VO_2 (47). Therefore, oxygen pulse (O_2 pulse = VO_2/HR) should increase with exercise and gradually level off to a (near) plateau at peak exercise. A decrease in O_2 pulse during progressive exercise could be an indicative of circulatory failure (7), and in combination with a sudden decrease in the VO_2 to WR ratio ($\Delta\text{VO}_2/\Delta\text{WR}$), could be indicative of myocardial ischemia (7). Furthermore, O_2 pulse should be within normal limits (≥ -2 SD) as a low O_2 pulse is indicative of a reduced cardiac stroke volume (44). However, in conditions with reduced oxygen extraction (eg, mitochondrial myopathies), O_2 pulse might not be a good reflection of stroke volume. Determination of stroke volume during exercise using continuous echocardiography or emerging bioimpedance methods is performed infrequently in clinical practice but might help to enhance the diagnostic utility of CPET for cardiac abnormalities. Circulatory abnormalities like low cardiac output are also often reflected in a high VE/VCO_2 slope. We highly recommended

examining the electrocardiogram (12 lead) for abnormalities (eg, arrhythmias, ST changes, and ectopy) at rest, during progressive exercise, and during recovery for at least 5 minutes. In case of suspected electrocardiogram abnormalities, always consult a pediatric cardiologist and apply relative and absolute contraindications before and during exercise (20,38).

Blood pressure response during progressive exercise is also an important circulatory parameter. Systolic blood pressure should increase with exercise intensity (~30 mm Hg/100 W), with a marked increase above the VAT (23). Although it is difficult to obtain valid measurements of diastolic blood pressure during exercise, this value should remain stable. A decrease or a failure to increase systolic pressure might indicate clinically significant left ventricular dysfunction (13). Finally, peripherally measured SpO₂ should always be monitored during a CPET, ideally using a forehead sensor. A decrease in SpO₂ >4% from baseline represents abnormal desaturation (3), and the CPET should be terminated if SpO₂ falls <80% and is accompanied by symptoms and signs of severe hypoxemia (3), as recommended by the American Thoracic Society (ATS) guidelines.

Respiratory Responses. In healthy children who exercise at sea level, there is no respiratory limitation to VO₂. Although a respiratory limitation is not likely, complete lung function testing before a CPET is strongly recommended. Lung function testing should include assessments of respiratory muscle strength, body plethysmography, spirometry (prebronchodilator and postbronchodilator medication), and diffusing capacity. These measures will help determine whether there is abnormal lung function and/or respiratory muscle weakness, as well to estimate maximal voluntary ventilation (MVV; see below). In addition, different CPET parameters can be utilized to verify whether there is suspicion or indication of respiratory limitation or abnormal respiratory response to exercise.

Respiratory limitation should always be interpreted in relation to a child's actual ventilatory capacity rather than to normal predicted values. For instance, a child with obstructive pulmonary disease can be expected to have a reduced ventilatory capacity but may or may not have a ventilatory limitation. A ventilatory limitation is traditionally defined by a limited (<20%) ventilatory reserve (VR) during exercise (11). The VR is calculated as $VR = 1 - (VE_{peak}/MVV)$, where VE_{peak} is the maximal volume of air exhaled per minute at peak exercise ($MVV = FEV_1 \times 35$ in children) (11). Healthy children have a VR of at least 11 L/min or 20% to 40% of their MVV. A low VR is characteristic of patients with primary lung disease, with the smallest VR observed in obstructive lung disease, whereas a high VR occurs when cardiovascular or other conditions limit exercise performance (43). Clinically, this outcome is very helpful in distinguishing cardiac from pulmonary disease and should therefore be included as part of the interpretation process.

Children with evidence of pulmonary disease during the CPET can demonstrate a wide variety of exercise patterns depending on the predominant mechanism of exercise limitation and disease severity. Most often, these children present with a low VR and a high HR reserve ($HRR = \text{predicted maximal HR} - HR_{peak}$), increased physiological dead space ventilation to tidal volume ratio ($VD/VT >0.34$), and increased arterial to end-tidal partial CO₂ tension differences (45). In early obstructive lung disease (higher residual volume or residual volume to total lung capacity ratio), for example, CPET responses may be normal, but exercise flow-volume loops can demonstrate expiratory flow limitation. Expiratory flow limitation corresponds to the part of the tidal flow-volume loop that meets or exceeds the expiratory boundary of the maximal flow-volume loop and is expressed as a percentage

of the tidal volume (11). Post-CPET spirometry can also be helpful to identify postexercise bronchospasm in children who have exercise-induced bronchoconstriction and/or occult asthma and/or who are receiving inadequate asthma medication.

In general, children with restrictive lung diseases have reduced exercise capacity, a low VO_{2peak}, and a low VAT. In these children, tidal volume increases to its maximum (50% of the vital capacity and/or 80% of the inspiratory capacity) at a relatively low WR (17,27,30). Any further increase in VE is due to an increase breathing frequency (BF). BF is age dependent and is approximately 65 breaths/min in 5- to 8-year-old children and between 50 and 55 breaths/min in children >11 years of age (31). If there is a ventilatory limitation during exercise, SpO₂ decreases systematically at each WR when there is shunting or mismatching (34).

Dyspnea can be assessed during CPET. Typically, there is a lag before the sense of respiratory effort increases. In children, this lag occurs at about 40% of maximal ventilation (43), whereas it occurs between 20% and 40% of maximal ventilation in adults. While there is no consensus on the definition of dysfunctional breathing during exercise, the demonstration of a rapid shallow breathing pattern is often used for confirmation. Nevertheless, breathing pattern may vary with age, wherein normal BF is higher in younger children because of a lower tidal volume (39). To assess breathing pattern, it is important to evaluate the tidal volume to vital capacity ratio in the context of age and determine its link to wasted ventilation (ie, increased demand).

Gas Exchange and Ventilation-Perfusion Matching. Gas exchange via diffusion from the lungs to the blood and vice versa is important for maintaining homeostasis during exercise. For the interpretation of gas exchange data, the following parameters are considered: ventilatory equivalents for O₂ (ventilatory efficiency; VE/VO_2) and CO₂ (ventilatory drive; VE/VCO_2), the partial end-tidal CO₂ tension ($P_{ET}CO_2$), and the partial end-tidal O₂ tension ($P_{ET}O_2$) (3). Low ventilatory efficiency (ie, high VE for VO₂) and high ventilatory drive (ie, high VE for VCO₂) are indications of abnormal gas exchange in the lungs (14). These are commonly observed with pulmonary vascular abnormalities like pulmonary hypertension. End-tidal gas tensions are a good reflection of arterial gas tensions when the child has a normal diffusion capacity in the lungs (14). Low $P_{ET}CO_2$ and high $P_{ET}O_2$ in combination with a high RER are indicators of hyperventilation (3). Assessment of arterial blood gases can help to discriminate between hyperventilation and ventilation-perfusion mismatch with a low $P_{ET}CO_2$. In children who hypoventilate, a low $P_{ET}O_2$ and a high $P_{ET}CO_2$ is observed (47).

The VD/VT ratio is valuable for estimating the degree of mismatch in ventilation to perfusion during exercise. At rest, physiological dead space ventilation is normally about one-third of the tidal volume (VD/VT at rest is about 0.34), this is reduced to about one-fifth during progressive exercise (VD/VT during exercise is <0.24) (10). For children who cannot achieve VO_{2peak}, a potential alternative marker is ventilatory drive or the VE/VCO_2 ratio at the VAT. The VE/VCO_2 slope up to the respiratory compensation point represents the ventilatory efficiency throughout the CPET up to the point where compensatory hyperventilation for metabolic acidosis begins (36). Several studies suggest that VE during exercise is mainly regulated to maintain the arterial pressure of CO₂ close to resting levels (41). Indeed, VE is altered by produced CO₂, which results in a close linear relation between VE and VCO₂ up to the respiratory compensation point (18,35,36). Since the arterial pressure of CO₂ is tightly regulated up to the respiratory compensation point, higher VE/VCO_2 at the respiratory

compensation point is usually due to a higher VD/VT (35), which represents an inefficiency of ventilation due to ventilation–perfusion mismatching or right-to-left shunting (3). The latter reduces the efficiency of lung gas exchange and requires an increase in VE to maintain a given VCO₂ and arterial pressure of CO₂.

Muscle Metabolism Responses. When muscle metabolism or myopathies are suspected sources of limitations, a CPET can be helpful to unravel abnormalities (40). Parameters of interest during CPET include a reduced VO_{2peak}, low VAT, and abnormally high or low blood lactate levels. In several myopathies, the ΔVO₂/ΔWR ratio is low, and in contrast to cardiovascular disorders, the ΔVCO₂/ΔWR ratio is also low. This is because reduced muscle blood flow prevents the locally released CO₂ to be observed at the level of lung gas exchange. In contrast to primary heart disease, the HR reserve is generally high because exercise is terminated before the cardiovascular system is maximally stressed (eg, due to leg pain). Finally, there is often a large VR because the demand on the respiratory system is usually relatively low. However, for diagnostic purposes, additional blood and urine samples should be collected before and after the CPET to measure lactate, ammonia, and creatine kinase among other metabolites (40). Abnormal RER values during progressive exercise and during recovery can also indicate metabolic abnormalities; for example, very high or low RER values during submaximal exercise could be indicative for a fatty acid oxidation disorder, mitochondrial myopathy, or glycogen storage disease, respectively (15,28).

Deconditioning. Deconditioning can be a major limiting factor in many children with a medical condition. Deconditioning is broadly defined as a reduced capacity of cardiovascular oxygen transport and/or a reduced efficiency in peripheral oxygen extraction with an early onset of the VAT (20,45). A VAT <50% of predicted VO_{2peak} is associated with deconditioning, whereas a VAT <40% of predicted may be indicative significant deconditioning or of pathology (26). Understanding the role of deconditioning in the exercise-induced physiological responses during a CPET is of great clinical importance, since observed deficits in CPET outcomes may be attributable to the deconditioning itself or to physiological abnormalities. In case of deconditioning, physical exercise training can be effectively prescribed as part of treatment. During exercise, the combination of a decreased O₂ pulse at peak exercise (<–2 SD of

predicted), a decreased VAT (<40–50% of predicted VO_{2peak}), decreased VO_{2peak} normalized for body mass, decreased WR at peak exercise normalized for body mass (<–2 SD of predicted), and a relatively rapid increase of HR and RER during unloaded or light exercise intensity can be seen as general clinical indications for deconditioning.

Step 6: Dominant Limitation and Symptom Perception

Abnormal CPET responses can be caused by multiple physiological systems. When the preponderance of data suggests that one system is dominating this response (eg, cardiovascular abnormalities), this system becomes the primary area of interest allowing for recommendations to target the deficits or referral of the patient to the appropriate medical specialist. Symptom perception of a child should not be overlooked, with special considerations to self-reports before (anamnestic), during, and after performing a CPET. Symptom perception can be divided into subjective perception of a patient and objective physiological signs of exertion. By assessing the subjective perception of a patient, the patient has to describe in their own words (parents should not respond in this case) the primary reason for terminating a CPET. Most often, patients mention leg fatigue, general fatigue, breathlessness, chest pain, dizziness and/or nausea, palpitations, and pain. Subjective perception should be compared with the physiological signs of exertion, as these can be quite different. The degree of discrepancy between subjective and objective indicators may indicate the next steps. For example, drastic differences in perception and physiological responses might prompt further referral (eg, psychosocial issues) or reassurance (no pathology) of the patients and their parents. Indeed, feelings of breathlessness and palpitations are often perceived as negative or dangerous symptoms in children who are not accustomed to exerting themselves.

Step 7: Clinical Interpretation and Pediatric CPET Report

Efforts to standardize CPET reports have previously been made; however, these are mostly focused on (healthy) adult populations. In our experience with physicians referring children for a CPET,

Table 2 Content of a CPET Report in Pediatric Medicine

1	Describe the rationale for the CPET
2	Provide the date of the CPET
3	Provide demographic (eg, sex, age, ethnicity); anthropometric (eg, body mass, body mass index); and pulmonary function data, as well as information concerning the child’s level of physical activity and/or sports participation
4	Describe the test protocol used and type of ergometer
5	Justify the quality of the performed effort, including test duration, symptom perception, and stop reason
6	Indicate the absolute and relative aerobic capacity (VO _{2peak}) and maximal work rate (WR _{peak})
7	Indicate the absolute and relative (normalized for body mass, expressed as a percentage of VO _{2peak} , and expressed as a percentage of predicted VO _{2peak}) ventilatory anaerobic threshold
8	Describe cardiovascular and oxygen transport responses
9	Describe respiratory responses
10	Describe gas exchange and ventilation–perfusion matching parameters
11	Describe muscle metabolism responses
12	Provide a clinical interpretation and conclusion concerning the child’s aerobic capacity, possible (dominant) limitation(s), and advice (eg, further referral, personalized training advice, lifestyle advice)

Abbreviations: CPET, cardiopulmonary exercise testing; VO_{2peak}, oxygen uptake at peak exercise; WR_{peak}, work rate at peak exercise.

Cardiopulmonary exercise test report

Test leader:

Patient ID:

Name:

Sex: Male/female

Date of birth:

Date CPET

Age:

years

Body height:

cm

Body mass:

kg

Body mass index:

kg/m²

Fat free mass:

kg

Body height:

z-score

Body mass:

z-score

Body mass index:

z-score

Fat free mass:

z-score

Reason of CPET referral and specific clinical question:

Patient history:

Family history:

Medical history and medications:

Problems/limitations perceived by the patient:

Problems/limitations perceived by parents/guardians:

Sport and physical activity participation:

Pediatric pre-participation questionnaire:

CPET protocol: For example, Godfrey 15 W/min ramp protocol at a cycle ergometer

Resting values

HR: beats/min

O₂-pulse: mL/beat

BP: mm Hg

RER:

VO₂: mL/min

SpO₂: %

VE: L/min

BF: breaths/min

TV: mL/beat

Lung function

FVC: L

FEV₁: L

Tiffeneau index: %

Quality of the delivered effort

HR_{peak}: beats/min

RER_{peak}:

HR_{peak}: % of pred.

Subjective indicators of a maximal effort: For example, facial flushing and unsteady biking

Reason(s) for termination the CPET: For example, leg fatigue

Determining aerobic fitness

Absolute VO_{2peak}: L/min

Relative VO_{2peak}: mL/kg/min

Absolute WR_{peak}: W

Relative WR_{peak}: W/kg

Absolute VAT: L/min

Relative VAT: mL/kg/min

VAT: % of VO_{2peak}

Absolute VO_{2peak}: z-score

Relative VO_{2peak}: z-score

Absolute WR_{peak}: z-score

Relative WR_{peak}: z-score

Absolute VAT: z-score

Relative VAT: z-score

VAT: % of pred. VO₂

Physiological responses

Cardiovascular responses and O₂-transport:

Respiratory responses:

Gas exchange and ventilation-perfusion matching:

Muscle metabolism responses:

Deconditioning:

HR_{rest} ... beats/min, HR_{peak} ... beats/min (...% of pred.); normal/abnormal increase in HR during the test; O₂-pulse at peak exercise ... mL/beat (...% of pred.), normalized for body mass ... mL/kg/beat*100 (...% of pred.); normal/abnormal increase of O₂-pulse during the test (no plateauing/plateauing); systolic BP at rest ... mm Hg, systolic BP at peak exercise ... mm Hg; normal/ abnormal systolic BP increase for the attained WR_{peak}; SpO_{2rest} ...%, SpO_{2peak} ...% (no desaturation/desaturation); normal (>10%)/abnormal recovery of BP and HR in the first minute after CPET termination; indication no signs/signs of autonomic dysregulation; X-ECG: (full report).

VE_{peak} ... L/min (...% of pred.), normalized for body mass ... L/kg/min (...% of pred.); normal/abnormal VE_{peak}; normal/abnormal VR (...%) - MVV estimated using FEV₁; VE/WR ...% of pred.; adequate/inadequate breathing pattern throughout progressive exercise; BF_{peak} ... breaths/min (...% of pred.), TV/FVC ...%, normal/abnormal TV/VE course; no signs/signs of rapid shallow breathing (RSBI ...%pred.); normal/abnormal recovery of VE and BF.

Normal/abnormal VE/VO₂-slope up to the RCP (...% of pred.); P_{ET}CO₂ at VAT ... mm Hg (...%pred.), EqCO₂ at VAT ... (...%pred.); P_{ET}O₂ and P_{ET}CO₂ within/outside normal limits.

Yes/no premature metabolic acidosis; RER_{peak} ... (...%pred.); normal/abnormal RER course; ΔVO₂/ΔWR ... mL/min/W (...% of pred.); normal/abnormal O₂ cost of work; normal/abnormal recovery of RER/acidosis.

Yes/no clinical indications for deconditioning.

Symptom perception

No signs/signs of dizziness, nausea, headache, hyperventilation, breathlessness, dysfunctional breathing, chest pain, increased palpitations during and after the CPET; main reason to terminate the CPET: leg fatigue/dyspnea/...

Clinical interpretation and conclusion

Report the main findings of the CPET and clearly state whether a most dominant physiological limitation could be distinguished. Moreover, a statement about the risk implications of the physiological limitations and other identified abnormalities should be provided. Finally, the report should provide a clear answer to the clinical question of the referring medical specialist. When of relevance, include possible further referrals, personalized advices regarding lifestyle and habitual physical activity, suggestions for a personalized exercise training program including specific thresholds and training zones and/or specific limitations during exercise.

Figure 2 — Example of a comprehensive pediatric CPET report. BF indicates breathing frequency; BF_{peak}, breathing frequency at peak exercise; BP, blood pressure; CPET, cardiopulmonary exercise testing; EqCO₂, ventilatory equivalent for carbon dioxide; FEV₁, forced expiratory volume in 1 s; FVC, forced vital capacity; HR, heart rate; HR_{peak}, heart rate at peak exercise; HR_{rest}, heart rate at rest; MVV, maximum voluntary ventilation; O₂, oxygen; O₂ pulse, oxygen pulse; P_{ET}O₂, partial end-tidal oxygen tension; P_{ET}CO₂, partial end-tidal carbon dioxide tension; RCP, respiratory compensation point; RER, respiratory exchange ratio; RER_{peak}, RER at peak exercise; RSBI, rapid shallow breathing index; SpO₂, peripheral oxygen saturation; SpO_{2peak}, peripheral oxygen saturation at peak exercise; SpO_{2rest}, peripheral oxygen saturation at rest; TV, tidal volume; TV/FVC, tidal volume to forced vital capacity ratio (depth of breathing); TV/VE, tidal volume to minute ventilation ratio; VAT, ventilatory anaerobic threshold; VE, minute ventilation; VE_{peak}, minute ventilation at peak exercise; VE/VO₂-slope, slope of the relation between minute ventilation and carbon dioxide production; VE/WR, minute ventilation to work rate ratio; VO₂, oxygen uptake; VO_{2peak}, oxygen uptake at peak exercise; VR, ventilatory reserve; WR_{peak}, work rate at peak exercise; X-ECG, exercise electrocardiogram; ΔVO₂/ΔWR, increase in oxygen uptake to increase in work rate ratio (oxygen cost of work).

the most imperative information they expect is regarding the normalcy of exercise responses, whether dominant limiting physiological elements or even pathophysiological patterns can be observed and whether their clinical question(s) can be answered. Although not all CPET parameters make sense to physicians, a comprehensive and standardized pediatric CPET report including a clear interpretation of the exercise data is indispensable to provide specific feedback to the referring specialist, as well as to other health care professionals. Table 2 and Figure 2 provide a format for a standardized pediatric CPET report. It is important to note that the report also includes a description of any trajectories and slopes of exercise physiological variables from rest up to peak exercise and during recovery, along with the interpretation on whether these findings are normal or require further investigation. Moreover, the report should clearly state whether a CPET revealed a dominant physiological limitation or even uncovered particular pathophysiological patterns. Finally, the report should provide a clear answer to the clinical question of the referring specialist as this, in combination with results from other diagnostic tests (eg, blood and urine analysis, magnetic resonance imaging, or spirometry data), will contribute to establishing a diagnosis or evaluation of the patient. When appropriate, it may be helpful to include potential further referrals, personalized recommendations regarding lifestyle and habitual physical activity, suggestions for a personalized physical exercise training program, and/or specific limitations or contraindications to exercise.

Conclusions

Applying a standardized approach to conducting, analyzing, and interpreting the CPET will enhance its value as a clinical tool for diagnostic, prognostic, and evaluative purposes in pediatrics. Detailed analyses of a CPET provide data-driven support for physiological reasoning and clinical decision making across a broader range of medical conditions. Furthermore, it will allow comparisons between clinical centers administering these tests, and in turn a better understanding of the CPET responses.

Acknowledgment

The authors would like to thank Joyce Obeid for editing of the final manuscript.

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Appendix: Pediatric Pre-participation Screening Questionnaire

NAME: _____

PATIENT ID: _____

DATE OF BIRTH: ____/____/____

TEST DATE: ____/____/____

SEX: ☐ MALE ☐ FEMALE

CURRENT MEDICATION USE: _____

① Determine your/your child's health status by marking what applies to you/your child

Medical history, you have had:

	YES	NO
■ Congenital heart disease	<input type="checkbox"/>	<input type="checkbox"/>
■ Cardiac rhythm disturbance	<input type="checkbox"/>	<input type="checkbox"/>
■ Heart surgery	<input type="checkbox"/>	<input type="checkbox"/>
■ Heart failure	<input type="checkbox"/>	<input type="checkbox"/>
■ Heart transplantation	<input type="checkbox"/>	<input type="checkbox"/>
■ Heart valve disease	<input type="checkbox"/>	<input type="checkbox"/>
■ Cardiac catheterization	<input type="checkbox"/>	<input type="checkbox"/>
■ Pacemaker/implantable cardiac defibrillator	<input type="checkbox"/>	<input type="checkbox"/>

Symptoms, you:

	YES	NO
■ Experience chest pain or chest discomfort during exercise	<input type="checkbox"/>	<input type="checkbox"/>
■ Experience unreasonable breathlessness	<input type="checkbox"/>	<input type="checkbox"/>
■ Experience a hard or fast beating heart	<input type="checkbox"/>	<input type="checkbox"/>
■ Experience dizziness, fainting, or blackouts	<input type="checkbox"/>	<input type="checkbox"/>

Other health issues, you have/are:

	YES	NO
■ Diabetes mellitus	<input type="checkbox"/>	<input type="checkbox"/>
■ Asthma of other lung disease	<input type="checkbox"/>	<input type="checkbox"/>
■ Burning or cramping sensations in your legs when walking	<input type="checkbox"/>	<input type="checkbox"/>
■ Muscle or joint problems that limit your physical activity	<input type="checkbox"/>	<input type="checkbox"/>
■ Concerns regarding the safety of exercise (you or your parents)	<input type="checkbox"/>	<input type="checkbox"/>
■ Intoxications (smoking, alcohol, drugs, energy drinks)	<input type="checkbox"/>	<input type="checkbox"/>
■ Pregnant	<input type="checkbox"/>	<input type="checkbox"/>

Cardiovascular risk factors:

	YES	NO	UNKNOWN
■ Blood pressure levels are elevated	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
■ Use of blood pressure medication	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
■ Blood cholesterol level is elevated	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
■ You have a close relative who had a heart attack before the age of 55 years (father/brother) or 65 years (mother/sister)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
■ You have a close relative who died unexpectedly before the age of 40 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
■ You have a close relative who have had a pacemaker/implantable cardiac defibrillator before the age of 40 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
■ You have a close relative who have had a cardiac rhythm disturbance before the age of 40 years	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
■ You are physically inactive (<30 minutes of physical activity on at least 3 days a week)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
■ You are overweight or obese	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

SIGNATURE PARENTS/GUARDIANS:

NAME: _____

SIGNATURE: _____

Note: Adapted from Bongers et al (9) drafted by the Child Development & Exercise Center and the Children's Heart Center of the University Medical Center Utrecht and based upon the original version of the American College of Sports Medicine and the American Heart Association (2).